

# Brian Wybourne and Schur functions

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Dedicated to Brian Wybourne - friend, colleague, collaborator

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# Motivation for use of Schur functions

## Theory of complex spectra

- Role of continuous groups - Racah, Judd, ...
- Classification of  $n$ -particle configurations
- Classification of  $N$ -particle operators
- Determination of selection rules

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## Group theoretic framework

- Irreducible representations of  $U(n)$ ,  $O(n)$ ,  $SO(n)$ ,  $Sp(2n)$
- Branching rules for restriction from a group to a subgroup
- Decomposition of tensor (Kronecker) products
- Symmetrised tensor products

# Early papers involving Schur functions

P R Smith and B G Wybourne J Math Phys 1967, 1968

- *Selection rules and the decomposition of the Kronecker square of irreducible representations.*
- *Plethysm and the theory of complex spectra.*

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P H Butler and B G Wybourne J de Physique 1969

- *The configuration  $(d + s)^N$  and the group  $R_6$ .*
- *Reduction of the Kronecker products for rotational groups.*
- *Applications of S-functional analysis to continuous groups.*

# Schur functions

**Definition** Theory of symmetric functions

Partition  $\lambda = (\lambda_1, \dots, \lambda_n)$ . Indeterminates  $x = (x_1, \dots, x_n)$ .

$$\{\lambda\} = s_\lambda(x) = \frac{\left| x_i^{n+\lambda_j-j} \right|}{\left| x_i^{n-j} \right|}.$$

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**Example** Theory of angular momentum

$$\begin{aligned} \chi_\phi^j &= e^{ij\phi} + e^{i(j-1)\phi} + \dots + e^{-ij\phi} = \frac{e^{i(j+\frac{1}{2})\phi} - e^{-i(j+\frac{1}{2})\phi}}{e^{i\frac{1}{2}\phi} - e^{-i\frac{1}{2}\phi}} \\ &= \frac{x_1^{2j+1} - x_2^{2j+1}}{x_1 - x_2} = \frac{\begin{vmatrix} x_1^{2j+1} & 1 \\ x_2^{2j+1} & 1 \end{vmatrix}}{\begin{vmatrix} x_1 & 1 \\ x_2 & 1 \end{vmatrix}} = s_{(2j,0)}(x_1, x_2) = \{2j\}, \end{aligned}$$

with  $x_1 = e^{i\phi/2}$ ,  $x_2 = e^{-i\phi/2}$ ,  $x_1 x_2 = 1$ .

# Schur functions as characters

## Weyl's character formula - Schur function

- Lie group  $G = U(n)$ . Irreducible representation  $V^\lambda$ . Highest weight  $\lambda$ .
- Weyl group  $W = S_n$ . Positive roots  $\Delta^+$ .  
Weyl vector  $\rho = \sum_{\alpha \in \Delta^+} \alpha = (n-1, \dots, 1, 0)$ .

$$\text{ch } V^\lambda = \frac{\sum_{w \in W} (-1)^{\ell(w)} e^{w(\lambda + \rho)}}{\sum_{w \in W} (-1)^{\ell(w)} e^{w(\rho)}} = s_\lambda(x) = \frac{|x_i^{n+\lambda_j-j}|}{|x_i^{n-j}|}.$$

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## Weyl's denominator formula - Vandermonde determinant

$$\begin{aligned} \sum_{w \in W} (-1)^{\ell(w)} e^{w(\rho)} &= \prod_{\alpha \in \Delta^+} (e^{\alpha/2} - e^{-\alpha/2}) \\ &= |x_i^{n-j}| = \prod_{1 \leq i < j \leq n} (x_i - x_j). \end{aligned}$$

# Schur function operations

- Outer product - Littlewood-Richardson coefficients

$$s_\lambda(x) s_\mu(x) = \sum_\nu c_{\lambda\mu}^\nu s_\nu(x).$$

- Quotient - Littlewood-Richardson coefficients

$$s_{\nu/\mu}(x) = \sum_\lambda c_{\lambda\mu}^\nu s_\lambda(x).$$

- Monomial expansion

$$s_\lambda(x) = \sum_k y_k \text{ with } y_k = x^\beta = x_1^{\beta_1} \cdots x_n^{\beta_n} \text{ for some } \beta.$$

- Plethysm

$$s_{\lambda \otimes \mu}(x) = s_\mu(s_\lambda(x)) = s_\mu(y) = \sum_\nu p_{\lambda\mu}^\nu s_\nu(x).$$

- Symmetrised powers - plethysms

$$s_\lambda(x)^n = \sum_\mu f^\mu s_{\lambda \otimes \mu}(x) \text{ where } f^\lambda = \dim V_{S_n}^\lambda.$$

- Resolution of Kronecker square

$$s_\lambda(x)^2 = s_{\lambda \otimes 2}(x) + s_{\lambda \otimes 1^2}(x) = s_2(y) + s_{1^2}(y).$$

# Some characters of classical groups

Unitary groups  $U(n)$ ,  $SU(n)$ .

- $U(n)$  tensor irreps:  $\text{ch } V^\lambda = s_\lambda(x) = \{\lambda\}$ .
- $SU(n)$  characters:  $s_\lambda(x) = \{\lambda\}$  with  $x_1 x_2 \cdots x_n = 1$ .

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Orthogonal groups  $O(n), SO(n)$ .

- Tensor irreps:  $[\lambda]$ . Spinor irreps:  $[\Delta; \lambda]$ .
- Spin irreps:  $SO(2n+1) : \Delta$ .  $SO(2n) : \Delta_\pm$ .
- Spin character:  $\Delta = \Delta_+ + \Delta_- = \prod_{i=1}^n (x_i^{\frac{1}{2}} + x_i^{-\frac{1}{2}})$ .
- Difference character:  $\Delta'' = \Delta_+ - \Delta_- = \prod_{i=1}^n (x_i^{\frac{1}{2}} - x_i^{-\frac{1}{2}})$ .

# More characters of classical groups

**Symplectic groups**  $Sp(2n)$ .

- Tensor irreps:  $\langle \lambda \rangle$ . Harmonic irreps:  $\langle \frac{k}{2}(\lambda) \rangle$ .
- Metaplectic irreps:  $\tilde{\Delta}_+ = \langle \frac{1}{2}(0) \rangle$ ,  $\tilde{\Delta}_- = \langle \frac{1}{2}(1) \rangle$ .
- $\tilde{\Delta} = \tilde{\Delta}_+ + \tilde{\Delta}_- = \prod_{i=1}^n (x_i^{-\frac{1}{2}} - x_i^{\frac{1}{2}})^{-1}$ .
- $\tilde{\Delta}'' = \tilde{\Delta}_+ - \tilde{\Delta}_- = \prod_{i=1}^n (x_i^{-\frac{1}{2}} + x_i^{\frac{1}{2}})^{-1}$ .

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**Note** Let  $\varepsilon = (x_1 x_2 \dots x_n)$ , then for  $SO(2n)$  and  $Sp(2n)$ :

- $\Delta = \varepsilon^{\frac{1}{2}} (\{0\} + \{1\} + \{1^2\} + \dots + \{1^n\})$ .
- $\Delta'' = \varepsilon^{\frac{1}{2}} (\{0\} - \{1\} + \{1^2\} + \dots + (-1)^n \{1^n\})$ .
- $\tilde{\Delta} = \varepsilon^{\frac{1}{2}} (\{0\} + \{1\} + \{2\} + \dots)$ .
- $\tilde{\Delta}'' = \varepsilon^{\frac{1}{2}} (\{0\} - \{1\} + \{2\} + \dots)$

# Classification of atomic states

*f*-shell Governed by the restriction  $U(7) \rightarrow SO(3)$

●  $f^1, S = \frac{1}{2}: \{1\} \rightarrow F$

●  $f^2, S = 0: \{2\} \rightarrow SDGI$

●  $f^2, S = 1: \{1^2\} \rightarrow PFH$

●  $f^3, S = \frac{1}{2}: \{21\} \rightarrow PD^2F^2G^2H^2IKL$

●  $f^3, S = \frac{3}{2}: \{1^3\} \rightarrow SDFGI$

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Corresponds to evaluating plethysms

- $F = [3] = x^3 + x^2 + x + 1 + \bar{x} + \bar{x}^2 + \bar{x}^3$  with  $x = e^{i\phi}, \bar{x} = e^{-i\phi}$ .
- $\{1^3\} = s_{1^3}(y) = \sum_{1 \leq i < j < k \leq 7} y_i y_j y_k$  with  $y_k = x^{4-k}$   
 $= x^6 + x^5 + 2x^4 + 3x^3 + 4x^2 + 4x + 5 + 4\bar{x} + 4\bar{x}^2 + 3\bar{x}^3 + 2\bar{x}^4 + \bar{x}^5 + \bar{x}^6$   
 $= [6] + [4] + [3] + [2] + [0] = I + G + F + D + S.$

# Branching rules

## Restriction from $G$ to $H$

- Characters of  $G$ :  $[\lambda]$ . Defining irrep character:  $[1]$ .
- Characters of  $H$ :  $(\mu)$ .
- Embedding defined by branching:  
 $[1] \rightarrow (\alpha) + (\beta) + \cdots + (\omega)$
- Branching of arbitrary representation:  $[\lambda] \rightarrow \sum_{\mu} b_{\lambda\mu} (\mu)$ .

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## Theorem [Wybourne and Butler]

$$[\lambda] \rightarrow ((\alpha) + (\beta) + \cdots + (\omega)) \otimes [\lambda].$$

The plethysm is to be evaluated by expressing both  $(\alpha) + (\beta) + \cdots + (\omega)$  and  $[\lambda]$  in terms of Schur functions.

# Branching rules application

Group-subgroup chain:  $SU(7) \supset SO(7) \supset G_2 \supset SO(3)$

- Characters of  $SU(7)$ :  $\{\kappa\}$ .
- Characters of  $SO(7)$ :  $[\lambda]$ .
- Characters of  $G_2$ :  $(\mu)$ .
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- Characters of  $SO(3)$ :  $[\nu]$ .

## Specification of embeddings and relevant branchings

- $SU(7) \downarrow SO(7)$ :  $\{1\} \downarrow [1]$ ,  $\{1^3\} \downarrow [1^3]$ .
- $SO(7) \downarrow G_2$ :  $[1] \downarrow (1)$ ,  $[1^3] \downarrow (2) + (1) + (0)$ .
- $G_2 \downarrow SO(3)$ :  $(1) \downarrow [3]$ ,  $(2) \downarrow [3] \otimes (2)$ .

# Branching rule for $G_2 \downarrow SO(3)$

Express plethysm in terms of Schur functions

- $G_2 \uparrow SU(7)$ :  $(2) \uparrow \{2\} - \{0\}$
- $SO(3) \uparrow SU(3)$ :  $[3] \uparrow \{3\} - \{1\}$ .
- Required plethysm  $[3] \otimes (2) = (\{3\} - \{1\}) \otimes (\{2\} - \{0\})$   
 $= \{6\} + \{42\} - \{4\} - \{31\} + \{1^2\} - \{0\}$ .
- $SU(3) \downarrow SO(3)$ :  
 $\{6\} \downarrow [6] + [4] + [2], \quad \{42\} \downarrow [4] + [3] + 2[2] + [0]$   
 $\{4\} \downarrow [4] + [2] + [0], \quad \{31\} \downarrow [3] + [2] + [1],$   
 $\{1^2\} \downarrow [1], \quad \{0\} \downarrow [0].$
- Hence  $G_2 \downarrow SO(3)$ :  $(2) \downarrow [6] + [4] + [2] = I + G + D$ .

# Development of SCHUR

## Purpose and scope

- Tensor products, branching rules, symmetrised powers
- Covers both classical and exceptional groups
- Deals with both finite and infinite-dimensional irreps
- Properties of symmetric group and symmetric functions

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## Approach

- Express all characters in terms of Schur functions
- Use Schur function products, quotients, plethysms
- For classical groups work in arbitrary rank and modify
- Symmetric group use inner products, inner plethysms
- Exploit infinite series of Schur functions

# Development of SCHUR

## Implementation

- DUDLEY (Littlewood) by Butler, 1969 FORTRAN
- SCHUR by Black, 1982 PASCAL
- SCHUR 4 Black, Hirst, Wilson, Wybourne, 1986 PASCAL
- SCHUR 5 1990 TURBOPASCAL, SUNPASCAL, 1999 C
- Mathematical input: Butler, Black, King, Jarvis, Farmer, Cummins, Luan Dehuai, Yang Mei, Toumazet, ...

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## Application

- Physics - atomic, nuclear, particle, GR,
- Mathematics - explore, conjecture, test

# Conjectures on spin irreps of $SO(2n)$

## Symmetrised cubes Wybourne

All can be found if  $\Delta \otimes \{21\}$  and  $\Delta'' \otimes \{21\}$  known.

Proof by induction:  $\Delta \otimes \{21\} = \Delta \sum_x ([1^{n-1-3x}] + [1^{n-2-3x}])$ .

Data from use of SCHUR

$$SO(4): \Delta'' \otimes \{21\} = -\Delta'' ([1] - [0])$$

$$SO(6): \Delta'' \otimes \{21\} = -\Delta'' ([1^2] - [1])$$

$$SO(8): \Delta'' \otimes \{21\} = -\Delta'' ([1^3] - [1^2] - [0])$$

$$SO(10): \Delta'' \otimes \{21\} = -\Delta'' ([1^4] - [1^3] - [1] + [0])$$

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## Conjecture for $SO(2n)$ Wybourne

$$\Delta'' \otimes \{21\} = -\Delta'' ([1^{n-1-6x}] - [1^{n-2-6x}] - [1^{n-4-6x}] + [1^{n-5-6x}]).$$

## Related binomial coefficient conjecture Proved by Breach

$$3^{n-1} = \sum_x \left\{ \binom{2n}{n-1-6x} - \binom{2n}{n-2-6x} - \binom{2n}{n-4-6x} + \binom{2n}{n-5-6x} \right\}.$$

# Symmetrised squares for $SO(2n)$ and $Sp(2n)$

**Theorem** Symmetrised squares for  $SO(2n)$  - Littlewood

$$\Delta_+ \otimes \{2\} = [1^n]_+ + \sum_x [1^{n-4-4x}]$$

$$\Delta_+ \otimes \{1^2\} = \sum_x [1^{n-2-4x}]$$

$$\Delta_- \otimes \{2\} = [1^n]_- + \sum_x [1^{n-4-4x}]$$

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**Conjecture** Symmetrised squares for  $Sp(2n)$  - Grudzinski and Wybourne

$$\tilde{\Delta}_+ \otimes \{2\} = \langle 1; (0) \rangle + \sum_x \langle 1; (4 + 4x) \rangle$$

$$\tilde{\Delta}_+ \otimes \{1^2\} = \sum_x \langle 1; (2 + 4x) \rangle$$

$$\tilde{\Delta}_- \otimes \{2\} = \sum_x \langle 1; (2 + 4x) \rangle$$

$$\tilde{\Delta}_- \otimes \{1^2\} = \langle 1; (1^2) \rangle + \sum_x \langle 1; (4 + 4x) \rangle$$

Proved by Thibon, Toumazet and Wybourne

# Further conjectures

## Metaplectic plethysm identities

$$\langle \frac{1}{2}(0) \rangle \otimes \{1^2\} = \langle \frac{1}{2}(1) \rangle \otimes \{2\} \quad \text{True (TTW)}$$

$$\langle \frac{1}{2}(0) \rangle \otimes \{21^2\} = \langle \frac{1}{2}(1) \rangle \otimes \{31\} \quad \text{True}$$

$$\langle \frac{1}{2}(0) \rangle \otimes \{\mu\} = \langle \frac{1}{2}(1) \rangle \otimes \{\mu'\} \quad \text{False.}$$

$$\langle \frac{1}{2}(0) \rangle \otimes \{\mu\} = \langle \frac{1}{2}(1) \rangle \otimes \{\mu'\}^* \quad \text{True (KW)}$$

$$\langle \frac{k}{2}(\lambda) \rangle^* \otimes \{\mu\} = (\langle \frac{k}{2}(\lambda) \rangle \otimes \{\mu'^k\})^* \quad \text{True (KW)}$$

Note: ' and \* signify the conjugate and associate.

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*SO(2n) and Sp(2n) analogies* - King and Wybourne

$$\Delta_+ \sim \tilde{\Delta}_+ = \langle \frac{1}{2}(0) \rangle, \quad \Delta_- \sim \tilde{\Delta}_- = \langle \frac{1}{2}(1) \rangle = \langle \frac{1}{2}(0) \rangle^*,$$

$$\Delta_+ \otimes \{2\} \sim \tilde{\Delta}_+ \otimes \{2\}, \quad \Delta_- \otimes \{2\} \sim \tilde{\Delta}_- \otimes \{1^2\},$$

$$\Delta_+ \otimes \{1^2\} \sim \tilde{\Delta}_+ \otimes \{1^2\}, \quad \Delta_- \otimes \{1^2\} \sim \tilde{\Delta}_- \otimes \{2\},$$

$$[1^n]_+ \sim \langle (1(0)) \rangle, \quad [1^n]_- \sim \langle (1(1^2)) \rangle = \langle (1(0)) \rangle^*,$$

$$[1^{n-t}] \sim \langle 1; (t) \rangle \quad \text{for } t < n, \quad [m^n / \lambda'] \sim \langle m(\lambda) \rangle.$$

# Square of Vandermonde determinant

- Vandermonde determinant

$$V_n(x) = \prod_{1 \leq i < j \leq n} (x_i - x_j).$$

- Square of Vandermonde determinant

- $V_n(x)$  is an antisymmetric function of the  $x_i$ .
- $V_n^2(x)$  is a symmetric function of the  $x_i$ .
- $V_n^2(x) = \sum_{\lambda} c_n^{\lambda} s_{\lambda}(x)$ .

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## Examples

- $V_2^2(x) = \{2\} - 3\{1^2\}$

- $V_3^2(x) = \{42\} - 3\{41^2\} - 3\{3^2\} + 6\{321\} - 15\{2^3\}$

- $V_4^2(x) = \{642\} - 3\{641^2\} - 3\{63^2\} - 3\{5^22\} + \dots + 105\{3^4\}$

# Problems

## Problems

- Determine  $c_n^\lambda$ .
- Determine  $\lambda$  such that  $c_n^\lambda > 0$ .
- Determine  $\sum_\lambda c_n^\lambda$  and  $\sum_\lambda (c_n^\lambda)^2$ .

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## Observations

- Leading term  $\{2\rho\} = \{2n - 2, 2n - 4, \dots, 2, 0\}$ .
- Final term  $(-1)^{\lfloor n/2 \rfloor} (2n - 1)!! \{(n - 1)^n\}$ .
- $\lambda$  is admissible if  $\lambda - 2\rho$  is a sum of positive roots.
- $c_n^\lambda = 0$  unless  $\lambda$  is admissible.
- $c_n^\lambda \neq 0$  for all admissible  $\lambda$  for  $n \leq 7$ .

# Further observations and a conjecture

## Observations Wybourne

- For  $n \geq 8$  there exist admissible  $\lambda$  for which  $c_n^\lambda = 0$
- For  $n = 8, 9, 10$  the numbers of such  $\lambda$  are 8, 66, 389
- $\sum_{\lambda} (c_n^\lambda)^2 = \frac{(3n)!}{(3!)^n n!}$  for all  $n$  Di Francesco *et al.*

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## Conjecture Wybourne

- $C_n = \sum_{\lambda} c_n^\lambda = (-1)^{n(n-1)/2} \frac{(3n-2)!!!}{2^{\lfloor n/2 \rfloor}}$ .
- Verified for all  $n \leq 10$ ,
- $C_2 = -2, C_3 = -14, C_4 = 70, \dots, C_{10} = -532532000$ .

# $q$ -dependant generalisations

## $q$ -discriminant

- $D_n(q; x) = \prod_{1 \leq i \neq j \leq n} (x_i - qx_j)$ .
- $D_n(q; x) = \sum_{\lambda} d_n^{\lambda}(q) s_{\lambda}(x)$ .
- $D_n(1; x) = (-1)^{n(n-1)/2} V_n^2(x)$ .
- $d_n^{\lambda}(1) = (-1)^{n(n-1)/2} c_n^{\lambda}$ .

# $q$ -dependant generalisations

## $q$ -discriminant

- $D_n(q; x) = \prod_{1 \leq i \neq j \leq n} (x_i - qx_j)$ .
- $D_n(q; x) = \sum_{\lambda} d_n^{\lambda}(q) s_{\lambda}(x)$ .
- $D_n(1; x) = (-1)^{n(n-1)/2} V_n^2(x)$ .
- $d_n^{\lambda}(1) = (-1)^{n(n-1)/2} c_n^{\lambda}$ .

## Observation

- $d_n^{\lambda}(q) \neq 0$  if and only if  $\lambda$  is admissible.
- $d_8^{1311985^241}(q) = q^{17} (q^2 + 1)^2 (q^2 + q + 1)^3 (q^4 + q^2 + 1)^2 (q - 1)^4$ .
- $\sum_{\lambda} d_n^{\lambda}(q) d_n^{\lambda}(q^2) = \frac{[3n]!_q}{([3]!_q)^n [n]!_{q^2}}$  for all  $n$ .

# $q$ -dependant sum

## Definition and data

- $D_n(q) = \sum_{\lambda} d_n^{\lambda}(q)$

- $D_2(q) = (q^2 + 1)$

- $D_3(q) = (q^6 + q^5 + 4q^4 + 2q^3 + 4q^2 + q + 1).$

- $D_4(q) = (q^{12} + 2q^{11} + 6q^{10} + \dots + 1).$

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## Observation

- None of the above factorise over the integers
- $D_n(q) \neq \frac{[3n-2]!!!_q}{([2]_q)^{[n/2]}}$ .

# Missing conjecture

Conjectured conjecture King, Toumazet and Wybourne

- Let  $D_{n,w}(q) = \sum_{\lambda} w_n^{\lambda}(q) d_n^{\lambda}(q) = \frac{[3n-2]!!!_q}{([2]_q)^{\lfloor n/2 \rfloor}}$ .
- Open problem - find  $w_n^{\lambda}(q)$  or modify right hand side.

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## Motivation and natural history of problem

- Physics - study of quantum Hall effect
- Mathematics - Laughlin's wavefunction ansatz
- Test admissibility-nonzero coeff hypothesis
- Sums of squares known - look at sum of coeffs
- Explore  $q$ -dependent case to explain unexpected zeros
- Generalise all known results to  $q$ -dependent case
- Still leaves open problems

# Academic life with Brian

## Personal involvement

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## Brian's input

- Immensely stimulating.
- Full of challenges.
- Plenty of surprises.
- Great fun - he is greatly missed.